

DOCKET NO.: C1104.70082US02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: George G. Mueller et al.
Serial No.: 10/810,481
Confirmation No.: 9785
Filed: March 26, 2004
For: MULTICOLORED LIGHTING METHOD AND APPARATUS

Examiner: Haissa Philogene
Art Unit: 2828

MAIL STOP Amendment

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114.

No fee or certification is required.

PART II: Information Cited

The Applicant hereby makes of record in the above-identified application the information listed on the attached form PTO-1449 (modified PTO/SB/08). The order of presentation of the references should not be construed as an indication of the importance of the references.

PART III: Explanation of Non-English Language References and Remarks Concerning Other Information Cited

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified PTO/SB/08):

At least some of the foreign patent documents indicated on the attached form PTO-1449 were cited in one or more oppositions filed against European Patent No. 1,234,140, which is assigned to the current assignee of the present application.

European Patent No. 1,234,140 discloses subject matter at least generally related to the subject matter of the present application.

Applicants make no representation that the documents cited herein relating to the European oppositions provide information material to the examination of the present application. Applicants make these documents of record in the present application primarily for completeness to facilitate the Examiner's understanding of the nature of these oppositions. The Examiner is urged to form his or her own conclusion regarding the relevance of the information contained in these documents.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 (modified PTO/SB/08) be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicant, the Examiner is urged to form his or her own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

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Docket No.: C1104.70082US02
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

Sheet 1 of 2

APPLICATION NO.:	10810481	ATTY. DOCKET NO.:	C1104-70082US02
FILING DATE:	March 26, 2004	CONFIRMATION NO.:	9785
APPLICANT:	George G. Mueller et al.		
GROUP ART UNIT:	2828	EXAMINER:	Haissa Philogene

U.S. PATENT DOCUMENTS

Examiner's Initials *	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication or Issue of Cited Document MM-DD-YYYY
		Number	Kind Code		
		3,644,785		Jarmar	02/22/1972
		3,875,456		Kano et al.	04/01/1975
		4,045,664		Vrenken et al.	08/30/1977
		5,642,933		Hitora	07/01/1997
		5,749,646		Brittell	05/12/1998
		5,959,316		Lowery	09/28/1999
		5,982,969		Sugiyama et al.	11/09/1999
		5,998,925		Shimizu et al.	12/07/1999
		6,066,861		Höhn et al.	05/23/2000
		6,245,259		Höhn et al.	06/12/2001
		6,277,301		Höhn et al.	08/21/2001
		6,386,720		Mochizuki	05/14/2002
		6,576,930		Reeh et al.	06/10/2003
		6,592,780		Höhn et al.	07/15/2003
		6,812,500		Reeh et al.	11/02/2004

FOREIGN PATENT DOCUMENTS

Examiner's Initials *	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation (Y/N)
		Office/Country	Number	Kind Code			
		DE	01950581			10/07/1969	Yes, see US3644785
		DE	02243245			09/02/1972	Yes, see US4045664
		DE	03526590	A1		07/25/1985	Abstract Only
		DE	19624087	A1		06/17/1996	Abstract Only
		DE	19829270	A1		07/01/1998	Abstract Only
		DE	20007134	U1		04/18/2000	No
		DE	29620583	U1		02/13/1997	No

FORM PTO-1449/A and B (modified PTO/SB/08) INFORMATION DISCLOSURE STATEMENT BY APPLICANT	APPLICATION NO.:	10/810,481	ATTY. DOCKET NO.:	C1104.70082US02
	FILING DATE:	March 26, 2004	CONFIRMATION NO.:	9785
	APPLICANT:	George G. Mueller et al.		
	GROUP ART UNIT:	2828	EXAMINER:	Haissa Philogene

						Yes, see US counterparts US6066861 US6245259 US6277301 US6576930 US6592780 US6812500
	DE	19638667	A1		09/20/1996	
	EP	0971421	A2		01/12/2000	
	JP	07335942	A		12/22/1995	Abstract Only
	JP	08248901	A		09/27/1996	Abstract Only
	JP	08293391	A		11/05/1996	Abstract Only
	JP	09007774	A		01/10/1997	Abstract Only
	JP	09167861	A		06/24/1997	Abstract Only
	JP	10242513	A		09/11/1998	Abstract Only
	JP	11039917	A		02/12/1999	Abstract Only
	JP	11087770	A		03/30/1999	Abstract Only
	JP	11087774	A		03/30/1999	Abstract Only
	JP	11133891	A		05/21/1999	Abstract Only
	JP	11202330	A		07/30/1999	Abstract Only
	WO	00/33390			06/08/2000	

EXAMINER:	DATE CONSIDERED:
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

[NOTE - No copies of U.S. patents, published U.S. patent applications, or pending, unpublished patent applications stored in the USPTO's Image File Wrapper (IFW) system, are included. See 37 CFR §1.98 and 1287OG163. Copies of all other patent(s), publication(s), unpublished, pending U.S. patent applications, or other information listed are provided as required by 37 CFR §1.98 unless 1) such copies were provided in an IDS in an earlier application that complies with 37 CFR §1.98, and 2) the earlier application is relied upon for an earlier filing date under 35 U.S.C. §120.]